

09/17/06

PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

09/882,409

Filing Date

06/15/2001

First Named Inventor

Kobayashi, Hironori

Art Unit

1756

Examiner Name

McPherson, John A.

Attorney Docket Number

37569-408700

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement and PTO/SB/08A form

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/ Incomplete Application

☐

Reply to Missing Parts under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

☐

After Allowance Communication to TC

☐

Appeal Communication to Board of Appeals and Interferences

☐

Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify below):

- 1) Copy of cited references; and,
- 2) Return-receipt postcard

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

SEYFARTH SHAW LLP

Signature

Printed name

TIMOTHY J. KEEFER

Date

9-6-05

Reg. No.

35,567

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*Trinidad Escobedo*

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TRINIDAD ESCOBEDO

Date

9/6/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Atty. Docket No. 37569-408700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date: 9/6/05

By: Trinidad Esobedo

Print Name: TRINIDAD ESOBEDO

Applicant: Kobayashi, Hironori

Examiner: McPherson, John A.

Serial No.: 09/882,409

Art Unit: 1756

Filed: June 15, 2001

For: STRUCTURE FOR PATTERN FORMATION, METHOD FOR PATTERN FORMATION, AND APPLICATION THEREOF

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

With regard to the above-identified application, pursuant to 37 C.F.R. §1.56, the item of information listed on the enclosed Form PTO/SB/08A is brought to the attention of the Examiner.

The undersigned certifies that each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO/SB/08A. In accordance with 37 C.F.R. §1.98(2)(i), a copy of each foreign patent listed on Form PTO/SB/08A, if any, is enclosed herewith.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such. The filing of this Information Disclosure Statement shall not be construed to

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mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists.

Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form PTO/SB/08A, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

This Information Disclosure Statement is being submitted subsequent to the mailing of the first Office action on the merits, but before the mailing of a final action or the notice of allowance, therefore the Commissioner is hereby authorized to charge Deposit Account No. 19-1351 in the amount of \$180 to cover the fee under 37 C.F.R. § 1.17(p), or credit any overpayment to same.

Date: \_\_\_\_\_

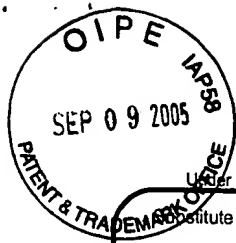
9/6/05

By: \_\_\_\_\_

Respectfully submitted,

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PTO/SB/08A (08-03)

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/882,409
Sheet	1	of	1	Filing Date	06/15/2001
				First Named Inventor	Kobayashi, Hironori
				Group Art Unit	1756
				Examiner Name	McPherson, John A.
				Attorney Docket Number	37569-408700

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1					
	A2					
	A3					
	A4					
	A5					

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>8</sub>
		Office 3	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1							
	B2							
	B3							
	B4							
	B5							

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	Notice of Rejection of Japanese Patent Application No. 2001-395028 issued on October 12, 2004 with its English translation and the following cited references therein: Akira FUJISHIMA, Tamihiko KATO, Eturo MAEKAWA and Kenichi HONDA, "Image Formation on a Treated TiO <sub>2</sub> Anode to be Hydrophobic," Journal of Denki Kagaku, Vol. 54, No. 2, pp.153-158 (1986).	
	C2	Final Notice of Rejection of Japanese Patent Application No. 2001-395028 issued on May 24, 2005 with its English translation and the following cited references therein: Japanese Patent Publication No. 10-35131 and PCT Publication No. WO98/12048	
	C3		
	C4		

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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